

## Engineering Circular No. 91

NO: ENG/ISM/59(4)/97

Dated 24.03.2008

**Sub: Reporting by Recognized Organization (R.O) on deficiencies related to possible Safety Management System failures on board ships.**

During survey / inspection of ships by Recognized Organizations, the surveyors must record deficiencies concerned with defect, failure of ships structure, equipment or fitting or failure in the control of documents. The surveyors, while carrying out periodical (Annual / Intermediate / Special) Class Survey or occasional Class Survey, Statutory Surveys, Additional Surveys relevant to port State control, flag State inspections or any other occasion, must identify and notify the Directorate of possible failure of "on board Safety Management System".

In case, there are **no** deficiencies related to Safety Management System failure, a nil report may be maintained in the office of R.O for subsequent verification during the audit / inspection. If, deficiencies are noted during any of the above mentioned Surveys, same must be notified to the Directorate General of Shipping after being reviewed by concerned Head Office.

The content of the report and process of reporting should be as follows:

### **The Content of the Report:**

The report shall bear the title "**Safety Management System failure**" and shall contain as a minimum, the following details:

- i) Identification of ship - IMO number, Ship's name, type of ship, flag
- ii) Identification of Survey / Attendance
- iii) Identification of SMC - Issuer of the SMC, Issuer of the DOC
- iv) Category of Deficiency - Technical, Operational, Documentation, Other

- v) Details of deficiency

**The Process of Reporting:**

***What to Report.***

- i) Deficiencies related to technical conditions that may lead to the limitation, suspension or withdrawal of a Class or Statutory Certificate;
- ii) Deficiencies related to documentation;
- iii) Deficiencies related to operational requirements;
- iv) Other deficiencies that may seriously affect the safety of ship, personnel or the environment
- v) Confirmation that the master and Designated Person / Company representative have been informed that the deficiencies are being communicated to the organization responsible for the issue of the SMC.

***Reporting and follow up:***

- i) The report shall be submitted to the responsible department within the surveyor's society for review. The responsible department should finally judge whether the reported deficiencies are possibly related to SMS failures.
- ii) When the responsible department within the surveyor's society concludes that the reported deficiencies are related to possible SMS failures, the report shall be sent to:  
a) Indian Register of Shipping, 52 A, Adi Shankaracharya Marg, Opp. Powai Lake, Powai, Mumbai-400072. b) Directorate General of Shipping, ISM Cell, Jahaz Bhavan, W.H.Marg, Mumbai-400001.
- iii) The report shall then be reviewed by the ISM Cell of the Directorate General of Shipping and decision as to what action, if any, needs to be taken.

It is requested that, the above requirement may please be complied with as this will certainly

go a long way in ensuring effective implementation of ISM Code on ships.

(The above details are as per IACS PR 17).

Please acknowledge the receipt and compliance of this circular.

This issues with the approval of the Chief Surveyor with the Govt. of India.

**Sd/-**

**(S.Barik)**

**Engineer & Ship Surveyor cum Deputy Director General (tech.)**